



# REQUEST FOR EXPRESSIONS OF INTEREST

for the selection of an Individual Consultant for conducting the final evaluation of the regional component of the SWIOFish2 project.

*Country:* **MAURITIUS – Indian Ocean**  
*Name of Project:* **SECOND SOUTH-WEST INDIAN OCEAN FISHERIES GOVERNANCE AND SHARED GROWTH PROJECT (SWIOFish2)**  
*Loan No./Credit No./ Grant No.:* **IDA-Grant Number D1720**  
*Assignment Title:* **Service contract for conducting the final evaluation of the regional component of the SWIOFish2 project**  
*Reference No.* **SW2/Y6-C013**

1. The Indian Ocean Commission (IOC) is an intergovernmental regional cooperation organization which brings together the Union of the Comoros, France, on behalf of Réunion, Madagascar, Mauritius, and Seychelles. Its mission is to strengthen the bonds of friendship and solidarity between peoples and to contribute through regional cooperation to the sustainable development of its member states. The IOC has received financing from the World Bank toward the cost of the SECOND SOUTH-WEST INDIAN OCEAN FISHERIES GOVERNANCE AND SHARED GROWTH PROJECT (SWIOFish2) regional project and intends to apply part of the proceeds for consulting services
2. The consulting services (“the Services”) include the **Service contract for conducting the final evaluation of the regional component of the SWIOFish2 project**, which will take place remotely. The Consultant's mission is to (i) Provide a complete report and analysis of the performance and results of the three sub-components of the project against the results indicators (PDO and intermediate indicators); (ii) Capture and disseminate experience; (iii) Provide accountability and transparency at the level of the operation with regard to the Recipient and the key project partners; (iv) Prepare the completion/final report. A provisional version of the terms of reference is available by following this link <https://www.commissionoceanindien.org/sw2-y6-c013/>
3. The Indian Ocean Commission (IOC) now invites eligible individual consultants (“Consultants”) to indicate their interest in providing the Services. Interested Consultants should provide information demonstrating that they have the required qualifications and relevant experience to perform the Services through curriculum vitae, copies of diplomas and certificates, references regarding the performance of similar contracts, etc.
4. The attention of interested Consultants is drawn to paragraph 1.9 of the World Bank’s Guidelines: Selection and Employment of Consultants under IBRD Loans and IDA Credits & Grants by World Bank Borrowers edition January 2011 Revised July 2014 (“Consultant Guidelines”), setting forth the World Bank’s policy on conflict of interest.
5. An Individual Consultant will be selected in accordance with the SELECTION OF INDIVIDUAL CONSULTANTS set out in the Consultant Guidelines.
6. Further information can be obtained at the address below.
7. Expressions of interest must be sent to the email addresses below by Tuesday 11 April 2023 at 4:30pm (Mauritian time UTC+4):

*e-mail:* [innocent.miada@coi-ioc.org](mailto:innocent.miada@coi-ioc.org) and [herinjiva.r@coi-ioc.org](mailto:herinjiva.r@coi-ioc.org)

*Reference:* "(SW2/Y6-C013) Final Evaluation SWIOFish2"



INDIAN OCEAN  
COMMISSION

# APPEL A MANIFESTATION D'INTÉRÊT

en vue de la sélection d'un Consultant Individuel pour la réalisation de  
l'évaluation finale du projet régional SWIOFish2.

<i>Pays :</i>	<b>MAURICE – Océan Indien</b>
<i>Nom du projet :</i>	<b>SECOND SOUTH-WEST INDIAN OCEAN FISHERIES GOVERNANCE AND SHARED GROWTH PROJECT (SWIOFish2)</b>
<i>N° de prêt/n° de crédit/n° de don:</i>	<b>IDA-Grant Number D1720</b>
<i>Titre de la mission :</i>	<b>Contrat de service pour la réalisation de l'évaluation finale du projet régional SWIOFish2</b>
<i>N° de référence</i>	<b>SW2/Y6-C013</b>

1. La Commission de l'Océan Indien (COI) est une organisation intergouvernementale de coopération régionale qui regroupe l'Union des Comores, La France/Réunion, Madagascar, Maurice et les Seychelles. Elle a pour mission de resserrer les liens d'amitié et de solidarité entre les peuples et de contribuer à travers la coopération régionale au développement durable de ses États membres. La COI a obtenu un financement de la Banque mondiale pour couvrir le coût du SECOND SOUTH-WEST INDIAN OCEAN FISHERIES GOVERNANCE AND SHARED GROWTH PROJECT (SWIOFish2) – Projet Régional et a l'intention d'affecter une partie du montant de financement à des services de consultant.
2. Les services de consultant (« les Services ») comprennent le contrat de service la réalisation de l'évaluation finale du projet régional SWIOFish2, qui se déroulera à distance. La mission du Consultant est de (i) Fournir un rapport et une analyse complet de la performance et des résultats des trois sous-composantes du projet par rapport aux indicateurs de résultats ; (ii) Recueillir et diffuser l'expérience ; (iii) Assurer la responsabilité et la transparence au niveau de l'opération vis-à-vis du bénéficiaire et des principaux partenaires du projet ; (iv) élaborer le rapport d'achèvement/final. Une version préliminaire des termes de référence est disponible en suivant ce lien <https://www.commissionoceanindien.org/sw2-y6-c013/>
3. La Commission de l'océan Indien (COI) invite maintenant les consultants Individuels éligibles (« Consultants ») à manifester leur intérêt à fournir les Services. Les Consultants intéressés doivent fournir les informations démontrant qu'ils possèdent les qualifications requises et l'expérience pertinente pour l'exécution des Services par le biais de curriculum vitae, de copies de diplômes et de certificats, de références concernant l'exécution de contrats similaires, etc.
4. L'attention des consultants intéressés est attirée sur le paragraphe 1.9 des Directives de la Banque mondiale : Sélection et emploi de Consultants dans le cadre des prêts de la BIRD et des crédits et dons de l'IDA par les emprunteurs de la Banque mondiale édition janvier 2011 révisée en juillet 2014 (« Directives des consultants »), énonçant la politique de la Banque mondiale sur les conflits d'intérêts.
5. Un Consultant sera sélectionné conformément à la méthode « Sélection de Consultants Individuels » énoncée dans les Directives des Consultants.
6. De plus amples informations peuvent être obtenues à l'adresse ci-dessous.
7. Les manifestations d'intérêt doivent être envoyées par email aux adresses ci-dessous avant le **mardi 11 avril 2023** à 16h30 (heure mauricienne UTC+4) :

E-mail : [innocent.miada@coi-ioc.org](mailto:innocent.miada@coi-ioc.org) et [herinjiva.r@coi-ioc.org](mailto:herinjiva.r@coi-ioc.org)

Reference: "(SW2/Y6-C013) Final Evaluation SWIOFish2"



INDIAN OCEAN  
COMMISSION

## **Second South-West Indian Ocean Fisheries Governance and Shared Growth Project (SWIOFish2, Regional Component)**

<b>Assignment title</b>	<b>Terms of reference for the recruitment of an individual consultant for conducting the final evaluation of the regional component of the SWIOFish2 project</b>
<b>Contract duration</b>	<b>35 person days over 3 months</b>
<b>Location</b>	<b>Home-based</b>
<b>Financed by</b>	<b>IDA</b>

**Mauritius, March 2023**

## **1. Project Background**

The regional component of the Second South-West Indian Ocean Fisheries Governance and Shared Growth Project which is being implemented by the Indian Ocean Commission is expected to end of September 2023. A Final Evaluation Report is to be prepared to assess project impact and the degree of success on achieving project objectives. The project is seeking a consultant who will assess the results and impacts of the project in respect of their relevance, effectiveness, efficiency and impacts at regional level. The final evaluation will also review project management and procedures. The final evaluation will be based on the input, process, output, outcome and impact tracking indicators, which have been developed within the results framework of project.

The Project is part of a Series of Projects, under the World Bank funded SWIOFish Programme, with the overarching objective of increasing the economic, social, and environmental benefits to SWIO countries from sustainable marine fisheries.

A Financing Agreement (Grant No. D1720) was signed between the International Development Association (IDA) and the Indian Ocean Commission (IOC) on the 30 May 2017 for the implementation of regional activities under the Second South West Indian Ocean Fisheries Governance and Shared Growth Project (SWIOFish2). The project started on 31 January 2018 and will end on 29 September 2023.

## **2. SWIOFish2 Project Objectives and Components**

2.1 – SWIOFish2 - The Project Development Objective is to improve the management of selected priority fisheries at regional, national and community levels and to increase access by targeted fishers to alternative livelihood activities.

A regional component 1 consists of three core activities:

- (1.1) support to the implementation of IOTC resolutions in the SWIO countries;
- (1.2) support to the coordination between African and Indian Ocean Developing Island States (AIODIS);
- (1.3) support to the newly created Fédération des Pêcheurs Artisans de l’océan Indien, FPAOI (Indian Ocean Federation of Artisanal Fishers).

The first sub-component expands on the support to regional coordination implemented under the SWIOFish1 by targeting the management of tuna and tuna-like species through the Indian Ocean Tuna Commission (IOTC). It is built upon the Global Partnership for Oceans Development Grant Facility experience of providing support to SWIO coastal State in implementing Port States Measures designed to fight illegal fishing at the international level. It provides for long-term technical and administrative assistance to the IOTC to provide support and training to the SWIO States for implementing IOTC resolutions for sustainable tuna fisheries management, in particular IT/database developer/programmer and Monitoring, Control and Surveillance, and fishery science expertise to support IOTC compliance process.

The second sub-component supports the group of African and Indian Ocean Island Developing States (Cabo Verde, Guinea Bissau, São Tomé & Príncipe, Comoros, Mauritius, Madagascar, Maldives and Seychelles) in collaborating and sharing their own experiences to address some of their specific challenges such as improving the sustainable management of their vast maritime territory; innovating and developing their blue economy in the context of climate change; and collaboratively mobilizing financing for addressing those challenges. Activities include the organization of high-level meetings to discuss specific challenges and issues of regional interest; the creation and exchange of knowledge; the provision of a preparation facility for project proposal; and a support to the AIODIS Secretariat to be hosted by the IOC.

The third sub-component facilitates coordination and strengthen the participation of the artisanal fisher associations in the SWIO region in the regional and international fora as well as support a more inclusive policy and management design. This sub-component lays the necessary foundations to help facilitate trainings, increase coordination, apprenticeships and other opportunities to help improve the livelihoods of artisanal fishers across the region. Activities include support to: (i) the FPAOI secretariat capacity strengthening; (ii) statutory meetings and regional consultations; (iii) participation in regional and international key events in regional fisheries bodies' meetings; (iv) a fisher exchange program among participating national fishers' organizations.

A dedicated sub- component (4.1) with support project management at the regional level. The Finance and Procurement Units (FPU) housed in the IOC are responsible for the administration of the regional project funds and related fiduciary aspects. The costs associated with the management and coordination at the regional level are supported by a regional IDA grant. Activities supported include monitoring and evaluation, audits, mid-term and final evaluation reports, and costs associated with core operational functions, as well as regional project coordination. The entire component 1 is managed by IOC.

### **3. Beneficiaries of the regional component of SWIOFish2**

3.1 The main Project beneficiaries are the members of the Indian Ocean Tuna Commission (IOTC), the members of the SWIOFC (South-West Indian Ocean Fisheries Commission), the African and Indian Ocean Developing Island States (AIODIS), and the members of the Indian Ocean Federation of Artisanal Fishers (Fédération des Pêcheurs Artisans de l'océan Indien- FPAOI).

Several actors are involved in the implementation of this project.

Implementation of components 1 (IOTC) and 3 (FPAOI) of the SWIOFish2 project are under the responsibility of the DI3 Officer in Charge for while Component 2 of –(AIODIS) is under the responsibility of the DI2 Officer in Charge. Moreover, if the project management unit at regional level is located at IOC, the agents in charge of the implementation of this project are posted on different territories:

- Indian Ocean Tuna Commission (IOTC) (Seychelles)
- Indian Ocean and African Island Developing States (AIODIS) (IOC Headquarters in Mauritius, with National Focal Points acting as representatives of each of the AIODIS)
- Federation of Artisanal Fishermen of the Indian Ocean (FPAOI) (registered in Reunion, and Secretary General based in Mauritius)

### **4. Final Evaluation Report**

The final evaluation report intends to:

- a) Provide a complete report of the performance and results of the three subcomponents of the project and analysis of project performance against the results indicators (PDO and Intermediate indicators);
- (b) Capture and disseminate experience with regard to (i) improve the selection of future interventions to achieve goals; (ii) improve the design and implementation of future interventions through lessons learned; and (iii) help ensure greater development impact and sustainability of operations;

(c) Provide accountability and transparency at the level of the operation with regard to the Recipient and the key project partners such as the IOTC, the AIODIS National Focal Points, the SWIOFish2 Project Implementation Team and involved stakeholders;

The Recipient as per the Financing Agreement between the International Development Association (the "Association") and Indian Ocean Commission (the "Recipient") for the SWIOFish2 Project being financially managed by IOC.

(d) the completion/final report should include:

(i) Assessment of the **objective, strategy, implementation, and operational experience**;

(ii) Assessment of the **outcome** of the project against the approved objectives;

The report should also **provide information** to the Bank on the economic, financial, social, institutional, and environmental conditions in which the operation was implemented, and on implementation and operation results (according to the timing specified in the result framework along with the project indicators). This typically entails: (i) **Providing feedback** on the quality of the Bank's contribution, from identification to supervision; and (ii) **Furnishing pertinent elements for discussions** among the Bank, the recipient, the implementing agency, beneficiary countries and other partners.

## 5. Scope of study

Three main elements to be evaluated are Delivery, Implementation and Finances. Each will be evaluated using three criteria: effectiveness, efficiency and timeliness.

The analysis will focus on key factors affecting implementation and outcomes; assessment of Bank and Beneficiaries performance and lessons learnt; **with supporting elements annexed when relevant. A suggested template for the main text and annexes is provided in Annex I.**

Among others, and not limited to, the following elements will need to be appraised:

*a. Institutional arrangement for the implementation of the sub-components project*

**The collaboration amongst the institutions directly involved in the implementation of the project (WB, IOC, IOTC, FPAOI, organisations of AIODIS National Focal Points)**

- Implementation strategies
- Consultative processes
- Technical support
- Capacity building initiatives
- Project outputs
- Assumptions and risks
- Project related complementary activities

*b. Outcome, results and impacts under the components of the project*

The core activities that make up the four sub-components of the project described in Section 2.

*c. Partnerships*

- i. Assessment of local partnerships and involvement of stakeholders

- ii. Assessment of collaboration between government, intergovernmental and non-governmental organisations

*d. Risk management*

- i. Were problems/constraints, which impacted on successful delivery of the project identified at the project design stage and subsequently as part of the Mid Term Evaluation (MTE)?
- ii. Were there new threats/risks to project success that emerged during project implementation?
- iii. Were both kinds of risk appropriately dealt with?
- iv. Were recommendations arising from the MTR addressed?

*e. Monitoring and Evaluation*

A monitoring and evaluation framework for the project was developed.

- a) Assess the extent, appropriateness and effectiveness of adaptive management at all levels of the project implementation
- b) Is the reporting framework effective/appropriate?
- c) Is this framework suitable for replication/continuation for any future project support?

*f. Project Implementation*

- i. Review the project management and implementation arrangements at all levels, in order to provide an opinion on its efficiency and cost effectiveness. This includes:
  - ii. Processes and administration:
  - iii. Milestones
  - iv. Key decisions and outputs.
  - v. Project oversight and active engagement by: World Bank and IOC
  - vi. Project implementation: IOC- IOTC\_FPAOI/SWIOFish2 Steering Committee and SWIOFC

*g. Project Finances*

How well and cost effectively have financial arrangements of the project worked? This section will focus on the following three priority areas:

- a) Project disbursements
  - Provide an overview of actual spending against budget expectations
  - Critically analyze disbursements to determine if funds have been applied effectively and efficiently.
- b) Budget procedures
  - Did the Project Document provide adequate guidance on how to allocate the budget?
  - Review of audits and any issues raised in audits and subsequent adjustments to accommodate audit recommendations;
  - Review the changes to fund allocations as a result of budget revisions and provide an opinion on the appropriateness and relevancy of such revisions

*h. Lessons Learnt*

Review any lessons learnt

*i. Assessment of Sustainability of project outcomes*

The sustainability assessment will give special attention to analysis of the risks that are likely to affect the persistence of project outcomes. The sustainability assessment should also explain how other

important contextual factors that are not outcomes of the project will affect sustainability. Following three dimensions or aspects of sustainability will be addressed:

- Financial resources: Are there any financial risks involved in sustaining the project outcomes? What is the likelihood that financial and economic resources will not be available once the IDA Grant ends? (trends that may indicate that it is likely that in future there will be adequate financial resources for sustaining project's outcomes once the SWIOFC Financing Mechanism is set up for eventual topping up by International donors)?
- Sociopolitical: Are there any social or political risks that can undermine the longevity of project outcomes? What is the risk that the level of IOTC/AIODIS/FPAOI Member State ownership will be insufficient to allow for the project outcomes/benefits to be sustained? Do the Member States see that it is in their interest that the project benefits continue to flow?
- Institutional framework and governance: Do the legal frameworks, policies and governance structures and processes in place in the IOTC/AIODIS/FPAOI Member States pose any threat to the continuation of project benefits? While assessing on this parameter also consider if the required systems for accountability and transparency, and the required technical know-how is in place.

## **6. Methodology of evaluation approach**

The completion/final report will be conducted in a participatory manner through a combination of processes. It is anticipated that the methodology to be used for the final evaluation report will include the following:

Review of documentation including but not limited to:

- Project Document and Project Appraisal Document;
- Project implementation reports
- Semester progress reports and work plans of the various implementation task teams;
- Audit reports;
- Mid Term Evaluation report;
- Project Implementation Manual
- Financial and Administration guidelines.

## **8. Deliverables**

This section presents the key evaluation products the evaluator will be accountable for producing. The deliverables are the following:

- Inception report
- Preliminary findings - Following field missions/consultations/interviews and prior to the drafting of the evaluation report, the evaluator will debrief the IOC project and management teams with preliminary findings. This gives an opportunity to discuss preliminary findings and address any factual errors or misunderstandings, prior to writing the evaluation report.
- Draft evaluation report— Submission of draft evaluation report to IOC for comments and inputs. The project unit and key stakeholders in the evaluation will then review the draft evaluation report to ensure that the evaluation covers the scope and meets the required quality criteria.
- Presentation of draft evaluation report (PPT presentation of about 15-20 slides) to the Project Implementation Unit for inputs and comments.

- Final evaluation report (of about 40 pages excluding annexes)– The final report is to be completed 1 week after receipt of consolidated comments from stakeholders.

Suggested template of the document:

- A. Project Context, Development Objectives and Design** (this section is descriptive, taken from other documents, e.g., PAD, not evaluative):
- *Context at Appraisal*
  - *Project Development Objectives (PDO)*
  - *Main Beneficiaries (briefly describe the “the primary target group” identified in the PAD and as captured in the PDO, as well as any other individuals and organizations expected to benefit from the project)*
  - *Project Components*
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- B. Key Factors Affecting Implementation and Outcomes**
- *Project Preparation with the collaboration of project partners*
  - *Implementation Stage (including any project changes/restructuring, mid-term review, Project at Risk status, and actions taken, as applicable):*
  - *Monitoring and Evaluation (M&E) Design, Implementation and Utilization:*
  - *Safeguard and Fiduciary Compliance (focusing on issues and their resolution, as applicable)*
- C. Outcomes**
- *Relevance of Objectives,*
  - *Achievement of Project Development Objectives (including brief discussion of causal linkages between outputs and outcomes, with details on outputs)*
  - *Justification of Overall Outcome Rating (combining relevance, achievement of PDOs, and efficiency)*
  - *Gender Aspects, and Social Development*
- D. Assessment of Bank and Recipient/Borrower Performance** (relating to design, implementation and outcome issues)
- Bank
- *Quality of Supervision (including of fiduciary and safeguards policies)*
  - *Justification of Rating for Overall Bank Performance*
- Recipient
- *IOC Performance (Mauritius)*
  - *Implementing Agency and collaborators (IOC, IOTC, FPAOI)*
  - *Regional Management Unit and Steering Committee*
    - *Justification of Rating for Overall Borrower Performance*
- E. Lessons Learned**
- F. Recommendations**

## **9. Payment Schedule**

The payment schedule is to be agreed with the Procurement Department of the IOC.

Payment will be done upon validation of deliverables by IOC following submission of the above-mentioned deliverables in a satisfactory manner.

## **10. Evaluation Ethics**

This evaluation will be conducted in accordance with the principles outlined in the UNEG 'Ethical Guidelines for Evaluation'. The consultant must safeguard the rights and confidentiality of information providers, interviewees and stakeholders through measures to ensure compliance with legal and other relevant codes governing collection of data and reporting on data. The consultant must also ensure security of collected information before and after the evaluation and protocols to ensure anonymity and confidentiality of sources of information where that is expected. The information knowledge and data gathered in the evaluation process must also be solely used for the evaluation and not for other uses with the express authorization of IOC and partners.

Evaluator must be independent from any organizations that have been involved in designing, executing or advising any aspect of the SWIOFish2 Project that is the subject of the evaluation.

## **11. Implementation Arrangements**

This section describes the organization and management structure for the evaluation and defines the roles, key responsibilities and lines of authority of all parties involved in the evaluation process. Implementation arrangements are intended to clarify expectations, eliminate ambiguities, and facilitate an efficient and effective evaluation process. Evaluator will report to the Officers in Charge of the SWIOFish2 project through the Regional Coordinator.

IOC is responsible for the management of this final evaluation and will contract independent consultant to conduct the evaluation on behalf of the SWIOFish2 Project. Project Implementation Unit will be the focal point for the evaluation and will provide assistance during the evaluation process such as setting up interviews, focus group meetings for the consultant.

## **12. Consultant's profile**

### Education

• Master's degree in marine related Sciences or Project Management or Development Studies or International Development or a field relevant to the assignment.

### Experience

The Consultant must have the following qualifications:

- Relevant experience with evaluation methodologies
- Experience in external evaluation of projects
- Minimum 7 years of relevant professional experience in evaluation of fisheries, environment projects
- Knowledge of World Bank evaluation process
- Experience working in and Indian Ocean and African developing island states and East African countries
- Experience working with communities, government sectors, NGOs and has excellent communication skills;
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Language Requirements:

- Fluency in written and spoken English is essential.
- Knowledge of French and Portuguese will be an advantage.

### **13. Time Frame for the Evaluation Process**

The evaluation will be conducted between 1 April and 30 June 2023 for an estimated 35 working days. The evaluation will include the following phases with their respective time frame.