



## **REQUEST FOR EXPRESSIONS OF INTEREST for the selection of an Individual Consultant for the SWIOFish2 Project Mid-Term Evaluation.**

1. The Indian Ocean Commission (IOC) is an intergovernmental regional cooperation organization which brings together the Union of the Comoros, France, on behalf of Réunion, Madagascar, Mauritius and Seychelles. Its mission is to strengthen the bonds of friendship and solidarity between peoples and to contribute through regional cooperation to the sustainable development of its member states. The IOC has received a grant from the World Bank to support the SWIOFish regional project. It intends to use part of this grant to make payments under the Individual Consultant contract " for the selection of an Individual Consultant for the SWIOFish2 Project Mid-Term Evaluation " .
2. The Consultant's mission is to assess if SWIOFish2 is functioning as planned in terms of efficiency, relevance, effectiveness and sustainability. The consultant will assess the performance of the project in achieving the results and overcoming the constraints and may propose some reorientations where needed. All components of SWIOFish2 regional activities will be evaluated. The involvement of states, stakeholders and, their level of ownership of the project will also be evaluated ;
3. The mission will be carried out by the consultant home based with possible mission to the IOC Headquarters.
4. The consultant will work under the supervision of the Indian Ocean Commission.
5. The estimated duration for carrying out this mission is between 15 November 2020 and 31 March 2021 for an estimated 31 working days.
6. The Indian Ocean Commission (IOC) now invites eligible consultants to express their interest in providing the services described above. They must provide information justifying that they are able to implement the necessary expertise and perform the services in question (curriculum vitae, copies of diplomas and attestations, references concerning the performance of similar contracts, etc.).
7. A copy of the terms of reference is available on the website of the Indian Ocean Commission <https://www.commissionoceanindien.org/sw2-y2-c023>
8. Expressions of interest must be filed electronically in uncompressed format at the address below no later than Monday, 26 October 2020:

*e-mail: [innocent.miada@coi-ioc.org](mailto:innocent.miada@coi-ioc.org) and [njiva.r@coi-ioc.org](mailto:njiva.r@coi-ioc.org)*

*Reference: " (SW2/Y3-C023) Individual Consultant for the SWIOFish2 Project Mid-Term  
Evaluation "*

9. Individual Consultants will be selected in accordance with the procedures set out in the Guidelines Selection and Employment of Consultants under IBRD loans and IDA credits & Grants by World Bank Borrowers edition January 2011 Revised July 2014.
10. The individual consultants concerned may obtain further information from the Indian Ocean Commission (IOC) by sending e-mails to the addresses mentioned in paragraph 8.



## **AVIS DE MANIFESTATION D'INTÉRÊT**

### **en vue de la sélection d'un Consultant Individuel pour l' « évaluation à mi-parcours du Projet Régional SWIOFish2 »**

1. La Commission de l'Océan Indien (COI) est une organisation intergouvernementale de coopération régionale qui regroupe l'Union des Comores, La France/Réunion, Madagascar, Maurice et les Seychelles. Elle a pour mission de resserrer les liens d'amitié et de solidarité entre les peuples et de contribuer à travers la coopération régionale au développement durable de ses Etats membres. La COI a obtenu un don de la Banque mondiale pour financer le projet SWIOFish Regional (Second South West Indian Ocean Fisheries Governance and Shared Growth Project). Elle se propose d'utiliser une partie de ce don pour effectuer des paiements autorisés au titre du contrat de Consultant Individuel qui assurera l'«évaluation à mi-parcours du Projet Régional SWIOFish2»
2. La mission du consultant est de réviser et d'évaluer si SWIOFish2 fonctionne comme prévu en termes d'efficacité, de pertinence, d'efficacité et de durabilité. Le consultant évaluera la performance du projet pour atteindre les résultats et surmonter les contraintes et pourra proposer des réorientations si nécessaire. Toutes les composantes des activités régionales de SWIOFish2 seront évaluées. L'implication des États, des parties prenantes et leur niveau d'appropriation du projet seront également évalués ;
3. La mission sera effectuée à distance avec une éventuelle mission au siège du COI.
4. Le Consultant travaillera sous la supervision de la Commission de l'océan Indien.
5. La durée estimée de la réalisation de cette mission est comprise entre le 15 décembre 2020 et le 31 mars 2021 pour une durée estimée de 31 homme-jours.
6. La Commission de l'océan Indien (COI) invite les consultants à manifester leur intérêt pour la fourniture des services décrits ci-dessus. Ils doivent fournir les informations justifiant qu'ils sont en mesure de mettre en œuvre les expertises nécessaires et d'exécuter les prestations en question (curriculum vitae, copie des diplômes et attestations, références concernant l'exécution de contrats analogues, ...).
7. Une version des termes de référence est disponible sur le site web de la Commission de l'océan Indien <https://www.commissionoceanindien.org/sw2-y2-c023>
8. Les manifestations d'intérêt doivent être déposées en version électronique sous format non compressé aux adresses ci-après au plus tard le lundi 26 Octobre 2020 :  
*e-mail : [innocent.miada@coi-ioc.org](mailto:innocent.miada@coi-ioc.org) et : [njiva.r@coi-ioc.org](mailto:njiva.r@coi-ioc.org)*  
*Référence : « (SW2/Y3-C023) Individual Consultant for the SWIOFish2 Project Mid-Term Evaluation »*
9. Les consultants individuels seront sélectionnés en accord avec les procédures définies par les Directives de la Banque Mondiale sur la Sélection et l'Emploi des Consultants.
10. Les consultants individuels intéressés peuvent obtenir de plus amples informations auprès de la Commission de l'océan Indien (COI) en envoyant un courriel aux adresses visées au paragraphe 8.

## TERMS OF REFERENCE

<b>Job title:</b>	<b>SWIOFish2 Mid-Term Evaluation Consultant</b>
<b>Hierarchical superior:</b>	Under the direction of the DI 5 Officer in Charge
<b>Duty station:</b>	IOC General Secretariat, Ebene, Mauritius

### 1. BACKGROUND

Fisheries are a key sector for the economies and employment in the South West Indian Ocean. Achieving their sustainable management is therefore essential and consists of one of the conditions required to reach a dynamic and credible blue/oceanic economy. The various IOC programmes, financed by the World Bank, are actively contributing to this.

World Bank has been supporting a regional fisheries program in the Indian Ocean, i.e. the SWIOFish program. A Financing Agreement (Grant No. D1720) was signed between the International Development Association (IDA) and the Indian Ocean Commission (IOC) on the 30th May 2017 for the implementation of regional activities under the Second South West Indian Ocean Fisheries Governance and Shared Growth Project (SWIOFish2). The project started on 31 January 2018 and will end on 29 September 2023.

### 2. SWIOFish2 Project Objectives and Components

2.1 – SWIOFish2 - The Project Development Objective is to improve the management of selected priority fisheries at regional, national and community levels and to increase access by targeted fishers to alternative livelihood activities.

A regional component 1 consists of three core activities:

- (1.1) support to the implementation of IOTC resolutions in the SWIO countries;
- (1.2) support to the coordination between African and Indian Ocean Developing Island States (AIODIS);
- (1.3) support to the newly created Fédération des Pêcheurs Artisans de l'océan Indien, FPAOI (Indian Ocean Federation of Artisanal Fishers).

The first sub-component expands on the support to regional coordination implemented under the SWIOFish1 by targeting the management of tuna and tuna-like species through the Indian Ocean Tuna Commission (IOTC). It is built upon the Global Partnership for Oceans Development Grant Facility experience of providing support to SWIO coastal State in implementing Port States Measures designed to fight illegal fishing at the international level. It provides for long-term technical and administrative assistance to the IOTC to provide support and training to the SWIO States for implementing IOTC resolutions for sustainable tuna fisheries management, in particular IT/database developer/ programmer and Monitoring, Control and Surveillance, and fishery science expertise to support IOTC compliance process.

The second sub-component supports the group of African and Indian Ocean Island Developing States (Cabo Verde, Guinea Bissau, São Tomé & Príncipe, Comoros, Mauritius, Madagascar, Maldives and Seychelles) in collaborating and sharing their own experiences to address some of their specific challenges such as improving the sustainable management of their vast maritime territory; innovating and developing their blue economy in the context of climate change; and collaboratively mobilizing financing for addressing those challenges. Activities include the organization of high-level meetings to discuss specific challenges and issues of regional interest; the creation and exchange of knowledge; the provision of a preparation facility for project proposal; and a support to the AIODIS Secretariat to be hosted by the IOC.

The third sub-component facilitates coordination and strengthens the participation of the artisanal fisher associations in the SWIO region in the regional and international fora as well as support a more inclusive

policy and management design. This sub-component lays the necessary foundations to help facilitate trainings, increase coordination, apprenticeships and other opportunities to help improve the livelihoods of artisanal fishers across the region. Activities include support to: (i) the FPAOI secretariat capacity strengthening; (ii) statutory meetings and regional consultations; (iii) participation in regional and international key events in regional fisheries bodies' meetings; (iv) a fisher exchange program among participating national fishers' organizations.

A dedicated sub- component (4.1) with support project management at the regional level. The Finance and Procurement Units (FPU) housed in the IOC are responsible for the administration of the regional project funds and related fiduciary aspects. The costs associated with the management and coordination at the regional level are supported by a regional IDA grant. Activities supported include monitoring and evaluation, audits, mid-term and final evaluation reports, and costs associated with core operational functions, as well as regional project coordination. The entire component 1 is managed by IOC.

### **3. Beneficiaries of the regional component of SWIOFish2**

3.1 The main Project beneficiaries are the members of the Indian Ocean Tuna Commission (IOTC), the members of the SWIOFC (South West Indian Ocean Fisheries Commission), the African and Indian Ocean Developing Island States (AIODIS), and the members of the Indian Ocean Federation of Artisanal Fishers (Fédération des Pêcheurs Artisans de l'Océan Indien- FPAOI)..

Several actors are involved in the implementation of this project.

Implementation of components 1 (IOTC) and 3 (FPAOI) of the SWIOFish2 project are under the responsibility of the DI5 Officer in Charge for while Component 2 of –(AIODIS) is under the responsibility of the DI2 Officer in Charge. Moreover, if the project management unit at regional level is located at IOC, the agents in charge of the implementation of this project are posted on different territories:

- Indian Ocean Tuna Commission (IOTC) (Seychelles)
- Indian Ocean and African Island Developing States (AIODIS) (IOC Headquarters in Mauritius, with National Focal Points acting as representatives of each of the AIODIS)
- Federation of Artisanal Fishermen of the Indian Ocean (FPAOI) (registered in Reunion, and Secretary General based in Mauritius)

To ensure the effective and efficient monitoring of activities under the mentioned SWIOFish2 project (hereinafter – the Project), the IOC is recruiting a Monitoring and Evaluation Consultant to perform tasks described below.

## **4. Duties and Responsibilities**

### **Evaluation Purpose, Scope and Objectives**

As the project is about to reach the first half of its duration, the mid-term evaluation aims to assess if SWIOFish2 is functioning as planned in terms of efficiency, relevance, effectiveness and sustainability. The consultant will assess the performance of the project in achieving the results and overcoming the constraints and may propose some reorientations where needed. All components of SWIOFish2 regional activities will be evaluated. The involvement of states, stakeholders and, their level of ownership of the project will also be evaluated.

The consultant is expected to identify weaknesses and strengths of the project design and implementation, and to come up with recommendations regarding the overall design and orientation of the project and on the work plan for the remaining period of the project, after evaluating the adequacy,

efficiency, and effectiveness of implementation, as well as assessing the achievements of the project's outputs and outcomes. The evaluation will also assess early signs of project success or failure and prompts adjustments. The results and recommendations of the evaluation would therefore help IOC Project team to document lessons learnt and best practices for the next project cycle.

The scope of the mid-term evaluation covers all activities undertaken in the indicated duration of the project. This refers to:

- Planned outputs of the project compared to actual outputs and the actual results as a contribution to attaining the project objectives.
- Problems and necessary corrections and adjustments to document lessons learnt.
- Efficiency of project management, including the delivery of outputs and activities in terms of quality, quantity, timeliness and cost efficiency.
- Likely outcomes and impact of the project in relation to the specified goals and objectives of the project.

The evaluation comprises the following elements:

- Assess whether the project design was clear, logical and commensurate with the time and resources available;
- An evaluation of the project's delivery of achievement of its overall objectives;
- An evaluation of project's performance in relation to the indicators, assumptions and risks specified in the logical framework matrix and the project document;
- Identification and, to the extent possible, quantification of any additional outputs and outcomes beyond those specified in the Project Appraisal Document and Financing Agreement;
- An evaluation of project coordination, management and administration. This includes specific reference to:
  - a) Organizational/institutional arrangements for collaboration among the stakeholders involved in project arrangements and execution;
  - b) The effectiveness of the monitoring and evaluation framework/mechanisms used in monitoring on a day to day basis, progress in project implementation;
  - c) Administrative, operational and/or technical challenges and constraints that influenced the effective implementation of the project;
  - d) An assessment of the functionality and the role of the Project Implementation Unit;
  - e) Financial management of the project, including the balance between expenditures on administrative and overhead charges in relation to those on the achievement of substantive outputs.
- Progress towards sustainability and replication of project activities;
- Assessment of the extent to which the design, implementation and results of the project have incorporated the environmental sustainability concerns and make recommendation accordingly;
- Lessons learnt during the project implementation;
- Recommendations for improvement

### **Evaluation Criteria and Key Guiding Questions**

The evaluation will be based on the following evaluation criteria:

- Relevance: measures whether the project addresses a specific goal and whether its objectives are still valid.
- Effectiveness: measures whether the project activities achieve its goal.
- Efficiency: measures the cost effectiveness, i.e. the economic use of resources to achieve desired results.
- Sustainability: measures whether the benefits of the project are likely to continue after donor funding has been withdrawn. The project needs to be environmentally as well as financially sustainable.
- Impacts of intervention: measure the positive and negative changes produced by the project, directly or indirectly, intended or unintended.

More specifically, the evaluation aims to address the following questions for each evaluation criteria:

### **Relevance**

- What has been the focus of the project implementation so far? Who are the main beneficiaries? How were they selected?
- The extent to which the project activities are suited to the priorities and policies of the target group, recipient and donor.
- To what extent did the objectives remain valid throughout the project duration?
- Were the activities and outputs of the project consistent with the overall goal and the attainment of its objectives?
- Were the activities and outputs of the project consistent with the intended impacts and Effects?

### **Effectiveness**

- To what extent were the objectives achieved?
- What were the major factors influencing the achievement or non-achievement of the objectives?
- Did the activities contribute to the achievement of the planned outputs?
- In which areas does the project have the greatest achievements? Why and what have been the supporting factors? How can the project build on or expand these achievements?
- In which areas does the project have the fewest achievements? What have been the constraining factors and why? How can or could they be overcome?

### **Efficiency**

- To what extent have resources been used efficiently? Have activities supporting the strategy been cost-effective?
- Were objectives achieved on time?
- To what extent was the project management structure as outlined in the project document efficient in generating the expected results?
- To what extent has the IOC project implementation strategy and execution been efficient and cost-effective?

### **Sustainability**

- Are there any financial risks that may jeopardize the sustainability of project outputs?
- To what extent will the benefits of the project continue after donor funding stops?

- What are the major factors which can influence the achievement or non-achievement of sustainability of the project?
- What were the major factors which influenced the achievement or non-achievement of sustainability of the project?
- What is the level of stakeholders' ownership for the project benefits to be sustained?
- Does the project have a clear exit strategy?

### **Methodology**

The final decision on the specific design and methods for the evaluation will emerge from consultation among project staff, the evaluators and key stakeholders, based on the inception report prepared by the evaluator, about what is appropriate and feasible to meet the evaluation purpose and objectives and answer the evaluation questions, given limitations of budget, time and data.

The evaluation is to use a combined methods approach, drawing on both primary and secondary, quantitative and qualitative data to come up with an overall assessment backed by clear evidence. Data will be collected through surveys of all relevant stakeholders (partner institutions, project implementation unit based at IOC, beneficiaries, etc.) and other selected mechanisms (e.g., key informant interviews, focus group discussions, etc.). Further data on the project indicators will be used by the evaluation to assess the project progress and achievements.

The final methodological approach including interview schedule, possible field visits and data to be used in the evaluation is to be clearly outlined in the inception report and be fully discussed and agreed between IOC and key stakeholders.

The evaluation methodology will include the following:

- Desk review of project document, monitoring reports (mission reports, and other internal documents including consultant and financial reports);
- Review of specific products produced so far, including datasets, management and action plans, publications (e.g., blogs, media etc.) and other material and reports;
- Interviews with the Project Coordinator, donor and consultants
- Interviews with other relevant key stakeholders involved

The evaluator is expected to follow a participatory and consultative approach that ensures close engagement with the project manager, implementing partners and direct beneficiaries.

### **5. Deliverables**

This section presents the key evaluation products the evaluator will be accountable for producing. The deliverables are the following:

- Evaluation inception report— (mid-December 2020) An inception report is to be prepared by the evaluator before going into the full-fledged data collection exercise. It should detail the evaluator's understanding of what is being evaluated and why, showing how each evaluation question will be answered by way of: proposed methods, proposed sources of data and data collection procedures.
- The inception report will include a proposed schedule of tasks, activities and deliverables, designating a team member with the lead responsibility for each task or product. The inception

report provides the project unit and the evaluator with an opportunity to verify that they share the same understanding about the evaluation and clarify any misunderstanding at the outset. The inception report will be discussed and approved by the Project Officers in Charge based at the IOC within 2 weeks after signature of the contract.

- Preliminary findings - (end of January 2021) Following field missions/consultations/interviews and prior to the drafting of the evaluation report, the evaluator will debrief the IOC project and management teams with preliminary findings. This gives an opportunity to discuss preliminary findings and address any factual errors or misunderstandings, prior to writing the evaluation report.
- Draft evaluation report— (mid-February 2021) Submission of draft evaluation report to IOC for comments and inputs. The project unit and key stakeholders in the evaluation will then review the draft evaluation report to ensure that the evaluation covers the scope and meets the required quality criteria.
- Presentation of draft evaluation report (end of February 2021) (PPT presentation) to the Project Implementation Unit for inputs and comments.
- Final evaluation report – (mid-March 2021) The final report is to be completed 1 week after receipt of consolidated comments from stakeholders.

## **6. Payment Schedule**

The payment schedule is to be agreed with the Procurement Department of the IOC.

Payment will be done upon validation of deliverables by IOC following submission of the above-mentioned deliverables in a satisfactory manner.

## **7. Evaluation Ethics**

This evaluation will be conducted in accordance with the principles outlined in the UNEG 'Ethical Guidelines for Evaluation'. The consultant must safeguard the rights and confidentiality of information providers, interviewees and stakeholders through measures to ensure compliance with legal and other relevant codes governing collection of data and reporting on data. The consultant must also ensure security of collected information before and after the evaluation and protocols to ensure anonymity and confidentiality of sources of information where that is expected. The information knowledge and data gathered in the evaluation process must also be solely used for the evaluation and not for other uses with the express authorization of IOC and partners.

Evaluator must be independent from any organizations that have been involved in designing, executing or advising any aspect of the SWIOFISH2 Project that is the subject of the evaluation.

## **8. Implementation Arrangements**

This section describes the organization and management structure for the evaluation and defines the roles, key responsibilities and lines of authority of all parties involved in the evaluation process. Implementation arrangements are intended to clarify expectations, eliminate ambiguities, and facilitate an efficient and effective evaluation process. Evaluator will report to the Officers in Charge of the SWIOFish2 project through the Regional Coordinator.

## **9. IOC Project Team**



IOC is responsible for the management of this final evaluation and will contract independent consultant to conduct the evaluation on behalf of the SWIOFish2 Project. Project Implementation Unit will be the focal point for the evaluation and will provide technical assistance during the evaluation process such as setting up interviews, field visits (if any) and focus group meetings for the consultant.

## **10. Time Frame for the Evaluation Process**

The evaluation will be conducted between 15 November 2020 and 31 March 2021 for an estimated 31 working days. The evaluation will include the following phases with their respective time frame.

Desk review and inception report phase – approximately 8 days

- Desk review conducted
- Briefings of evaluator
- An inception report will be prepared by the evaluator detailing the evaluator's understanding of what is being evaluated and why, showing how each evaluation question will be answered by way of: proposed methods, proposed sources of data and data collection procedures. The inception report will include a proposed schedule of tasks, activities and deliverables.

Stakeholder consultations and Interviews – approximately 10 days

- The evaluator will consult with all relevant stakeholders and conduct a series of interviews, focus group discussions, and field visits in order to collect the required data.

Analysis of data and drafting report - approximately 5 days

- Once the data is collected, the evaluator will analyse them and draft the evaluation report.

Presentation of draft evaluation report to stakeholders and in the steering committee meeting- approximately 4 days

- Once the draft final evaluation report submitted, it will be presented to all stakeholders and steering committee for reviewing. The comments shared by the stakeholders and steering committee will be incorporated into the final evaluation report.

Final report - approximately 4 days

- The evaluator will revise the final evaluation report based on the comments and inputs provided by all stakeholders and submit the final report to IOC.

Total number of working days: 31 days

## **11. Consultant's profile**

The individual consultant should have the following qualifications and skills/experience:

Education:

At least master's degree in Fisheries, Management, Development studies, International Development or a relevant field is required;

**Experience:**

At least 5 years accumulated experience in project/programme mid-term or final evaluation is required;

At least 5 years accumulated experience in programme management support, programme/project formulation, monitoring and evaluation and results-based management implementation is an asset; Prior experience in evaluating World Bank Funded projects.

**Language skills:**

Excellent written and verbal communication skills in English is required. Knowledge of French and Portuguese will be an advantage.

**12. Location**

Home-based with possible missions to Mauritius and beneficiary countries.

**13. Payment schedule**

As agreed with the IOC Procurement Department.