REQUEST FOR EXPRESSIONS OF INTEREST
for the selection of an Individual Consultant "Compliance technical expert in support of the IOTC Compliance Section under the SWIOFish 2 Regional Project".

1. The Indian Ocean Commission (IOC) is an intergovernmental regional cooperation organization which brings together the Union of the Comoros, France, on behalf of Réunion, Madagascar, Mauritius and Seychelles. Its mission is to strengthen the bonds of friendship and solidarity between peoples and to contribute through regional cooperation to the sustainable development of its member states. The IOC has received a grant from the World Bank to support the SWIOFish regional project. It intends to use part of this grant to make payments under the Individual Consultant contract for "Compliance technical expert in support of the IOTC Compliance Section under the SWIOFish 2 Regional Project".

2. The Consultant's mission is to (i) assist the IOTC Compliance Section to implement the IOTC activities of the project related to capacity building activities to strengthen the implementation of active IOTC CMMs with an increase focus on quality of data and information submitted, thus increasing the level of compliance. In this case, implementation obligations relate to the reporting obligations of the CPCs; (ii) undertake activities related to compliance support mission and conduct in country mission to assist CPCs (Members of SWIOFC) to fulfill their reporting obligations to the IOTC. The compliance technical experts will work in close collaboration with the administration(s) in charge of IOTC matters.

3. The mission will be carried out in the South-West Indian Ocean region plus SWIOFISH2 member countries.

4. The Consultant will work administratively under the supervision of the Indian Ocean Commission and technically with IOTC secretariat.

5. The estimated duration for carrying out this mission is two hundred and seventy (270) working days spread until 30 September 2023. The beginning is scheduled on June 2019.

6. The Indian Ocean Commission (IOC) now invites eligible consultants to express their interest in providing the services described above. They must provide information justifying that they are able to implement the necessary expertise and perform the services in question (curriculum vitae, copies of diplomas and attestations, references concerning the performance of similar contracts, etc.).

7. A version of the terms of reference is available on the website of the Indian Ocean Commission [http://commissionoceanindien.org](http://commissionoceanindien.org)

8. Expressions of interest must be filed electronically in uncompressed format at the address below no later than Friday, 31 May 2019 at 16:30 (Mauritius time UTC+4):

   e-mail: innocent.miada@coi-ioc.com and njiva.r@coi-ioc.com

   Reference: "Compliance technical expert in support of the IOTC Compliance Section under the SWIOFish 2 Regional Project - SW2/Y2-C003"

9. Individual Consultants will be selected in accordance with the procedures set out in the World Bank Guidelines on Selection and Employment of Consultants.

10. The individual consultants concerned may obtain further information by sending e-mails to the addresses referred in paragraph 8.
DEMANDE DE MANIFESTATIONS D’INTERET
pour la sélection d'un consultant individuel "Expert technique en matière de conformité à l'appui de la Section conformité de la CTOI dans le cadre du projet régional SWIOFish 2"

1. La Commission de l’Océan Indien (COI) est une organisation intergouvernementale de coopération régionale qui regroupe l’Union des Comores, La France au nom de la Réunion, Madagascar, Maurice et Seychelles. Elle a pour mission de resserrer les liens d’amitié et de solidarité entre les peuples et de contribuer à travers la coopération régionale au développement durable de ses Etats membres. La COI a obtenu un don de la Banque mondiale pour financer le projet SWIOFish Regional (Second South West Indian Ocean Fisheries Governance and Shared Growth Project). Il se propose d'utiliser une partie de ce don pour effectuer des paiements autorisés au titre des contrats des Consultants Individuel "Expert technique en matière de conformité à l'appui de la Section conformité de la CTOI dans le cadre du projet régional SWIOFish 2."

2. La mission du consultant est d’(i) aider la Section de la conformité de la CTOI à mettre en œuvre les activités de la CTOI du projet lié aux activités de renforcement des capacités afin de renforcer la mise en œuvre des CMM actives de la CTOI en mettant davantage l'accent sur la qualité des données et des informations soumises, augmentant ainsi le niveau de conformité, et (ii) d’entreprendre des activités liées à la mission de soutien à la conformité et à la conduite dans les missions de pays pour aider les CPC (membres de la SWIOFC) à s'acquitter de leurs obligations en matière de rapports à la CTOI. Les experts techniques en matière de conformité travailleront en étroite collaboration avec les administrations responsables des questions relatives à la CTOI.

3. La mission sera effectuée au niveau de la région du Sud-Ouest de l’Océan Indien.

4. Le Consultant travaillera sous la supervision de la Commission de l’Océan Indien.

5. La durée prévue pour l'exécution de cette mission est de deux cent soixante-dix (270) jours ouvrables, répartis jusqu'au 30 septembre 2023. Le début est prévu pour juin 2019.

6. La Commission de l’océan Indien (COI) invite les consultants admissibles à manifester leur intérêt pour la fourniture des services décrits ci-dessus. Ils doivent fournir les informations justifiant qu’ils sont en mesure de mettre en œuvre les expertises nécessaires et d’exécuter les prestations en question (curriculum vitae, copie des diplômes et attestations, références concernant l’exécution de contrats analogues, …).

7. Une version des termes de référence est disponible dans le site web de la Commission de l'océan Indien http://commissionoceanindien.org

8. Les manifestations d’intérêt doivent être déposées en version électronique sous format non comprimé à l’adresse ci-après au plus tard le Vendredi 31 Mai 2019 à 16 heures 30 minutes (heures de Maurice GMT+4) :

   e-mail : innocent.miada@coi-ioc.com et : njiva.r@coi-ioc.com

   Référence: "Compliance technical expert in support of the IOTC Compliance Section under the SWIOFish 2 Regional Project - SW2/Y2-C003"


10. Les consultants individuels intéressés peuvent obtenir de plus amples informations en envoyant courriel aux adresses visées au paragraphe 8.
**South West Indian Ocean Fisheries Governance and Shared Growth Program (SWIOFish Regional)**

**Second South West Indian Ocean Fisheries Governance and Shared Growth Project (SWIOFish2)**

**Terms of Reference for Compliance technical expert in support of the IOTC Compliance Section (Individual)**

<table>
<thead>
<tr>
<th>Assignment title</th>
<th>Compliance technical expert in support of the IOTC Compliance Section under the SWIOFish 2 Regional Project.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Contract duration</strong></td>
<td>270 working days, starting in 2019, terminating 30 September 2023.</td>
</tr>
<tr>
<td><strong>Assignment location</strong></td>
<td>IOTC Secretariat, Victoria, Seychelles and in country mission.</td>
</tr>
<tr>
<td><strong>Financed by</strong></td>
<td>IDA</td>
</tr>
</tbody>
</table>

Seychelles, May 2019
1. **Background**

1.1. **SWIOFISH 2**

World Bank has been supporting various fisheries projects in the Africa Region. At the regional level, the most relevant of these is the South West Indian Ocean Fisheries Project (SWIOFP), which closed on March 31, 2013. The SWIOFP, which brought together all countries in the South West Indian Ocean (SWIO), including Kenya, Mozambique, South Africa, Seychelles, Comoros, Madagascar, Mauritius, France (non-beneficiary participant), Somalia (observer) and Tanzania, was successful in building regional capacity for fisheries management, including through establishing a network of fisheries researchers and managers, and developing a regional management framework. Ultimately the Member countries of the South West Indian Ocean Fisheries Commission (SWIOFC) agreed to reform the Commission, promoting it from an advisory body to a Regional Fisheries Management Organization (RFMO) of the Coastal States – enabling it to take binding decisions on fisheries management, and to negotiate in bloc with Distant Water Fishing Nations, among others.

Given the important achievements of SWIOFP, SWIOFC Member Countries have requested a follow-on program namely – **the South West Indian Ocean Fisheries Governance and Shared Growth Program** (SWIOFish Program).

The proposed program will be a Series of Projects (SOP), over a 15-year period, using IDA and blended GEF resources, together with parallel support from other donors and trust funds. It will comprise a regional umbrella and a combined approach targeting both regional and national fisheries priorities. It will support regional integration of fisheries management, while expanding the approach beyond research and sound fisheries management to strengthen sector governance and harness the value of coastal and marine fisheries to national economies. **Given the importance of sound fisheries management to livelihoods and economic growth, the SWIO coastal countries have decided to all participate in the SWIOFish Program through a regional platform hosted by the SWIOFC and supported by the Indian Ocean Commission (IOC).**

The first Project under the Program, entitled SWIOFish1, is now under preparation, and will implement, within 6 years, regional activities as well as country-level investments in Mozambique, Comoros and Tanzania. Other SWIO countries are expected to join progressively with country-level investments.

1.2. **IOTC**

The IOTC is an intergovernmental organization established under Article XIV of the FAO Constitution. The IOTC agreement was concluded in 1993 and entered in force in March 1996. The IOTC is mandated to manage tuna and tuna-like species in the Indian Ocean and adjacent seas with primary objective the conservation and optimum utilization of the stocks for long term sustainability.

Since 1998, the members of the IOTC have dedicated most of their effort to the scientific component of fisheries management, to manage the tuna and tuna-like resources. Since the mid 2000’s, more emphasis has been placed on the compliance component of this tuna RFMO. A
Compliance Section has been created to assist the Compliance Committee in the task of assessing and reviewing all aspects related to the compliance with IOTC conservation and management measures and to provide support to Contracting and Cooperating non-Contracting Parties (CPCs) in the implementation of Monitoring, Control and Surveillance (MCS) tools adopted by the IOTC Members (e.g. IUU vessel lists, authorized & active vessels lists, documents on board, marking of fishing vessels and gears, Vessel Monitoring System, fishing logbooks, regional observers scheme, transhipments programme, catch certification/trade documentation schemes). In 2010, aware of the powerful and cost effective compliance tool of Port States measures to combat IUU fishing activities in the Indian Ocean, the IOTC adopted a resolution on Port State measures to prevent, deter and eliminate illegal, unreported and unregulated (IUU) fishing (IOTC Resolution 16/11 on PSMR). The resolution, which entered into force on 1 March 2011 (Resolution 10/11), is inspired by the 2009 FAO Agreement on Port State Measures but placed in the context of the IOTC mandate. The fisheries administrations of the Coastal CPCs of the IOTC, where foreign fishing vessels offload tuna and tuna like species, are responsible for the implementation of the resolution.

The effective implementation of the IOTC Conservation and Managements Measures (CMMs; including the Port State measures resolution) by developing CPCs requires a variety of aspects to be considered, developed and/or strengthened, to improve the capacity of the concerned CPCs for the implementation of these CMMs.

The objective of the proposed IOTC capacity building activities is to strengthen the implementation of active IOTC CMMs, thus increasing the level of compliance and enhancing contribution of the developing States - coastal CPCs of the IOTC to the work of the IOTC.

2. SWIOFish Program and Projects Objectives and Components

The Program Development Objective of the proposed SWIOFish Program is “to increase the economic, social, and environmental benefits of SWIO countries from marine fisheries. The program will target growth and poverty reduction and strengthening of institutional and private sector capacities of the fisheries sector.

The SOP Development Objective-level Results Indicators of the Project would be as follows:

a) Reduce the degradation of the status of fish stocks;

b) Increase in the fisheries-related GDP in participating countries; and

c) Increase in local fisheries-related value-addition benefitting to the households.

A phased set of complementary country and regional investments would achieve the program development objective by: (i) strengthening the countries’ governance capacity to manage fisheries at the national and regional level, including reducing illicit fishing activities and strengthening co-management of small-scale fisheries; (ii) investing to increase the profitability and sustainability of fisheries and value chains, and boost the value-added captured by the SWIO countries; (iii) supporting policies that improve the sharing of benefits derived from sustainable use of marine living resources in particular through pro-poor and community fisheries; and (iv) building robust and cost-effective regional cooperation on fisheries.
The proposed SOP would be a multi-phase operation to be implemented over a 15-year period. All SWIOFC member countries are eligible for the SOP, contingent on available funding. The first phase of the SOP (Phase 1) would extend, consolidate and build on the accomplishments of SWIOFP\(^1\) and other Bank and GEF-funded activities in the region. It would consolidate and strengthen regional cooperation on fisheries and living marine resource management through improved management of fisheries, strengthen regional fisheries institutions, and promote knowledge exchange and capacity development. It would also establish the core human and institutional competencies and frameworks for sustainable fisheries management and prepare for major public and public/private capital investments required. It would also replicate and expand community fisheries co-management and business programs. As such, Phase 1 would have a major focus on human and institutional capacity development, design and implementation of fisheries management plans, and support to community livelihoods with a view to reducing the pressure on the resource.

A potential future phase (Phase 2), while building on the structure and achievements of Phase 1, would be a deepening and expansion phase which would further strengthen the policy reforms and empower stakeholders and users to have a greater role and incentives to secure the long-term health of the resources. It would support major investments prepared and shown to be viable in Phase 1, including in infrastructure, in co-financing of aquaculture development, fleet adjustment and fisheries reform. It would deepen regional collaboration with a focus on ensuring sustainability of that collaboration and regional management efforts. It would further harness benefits along domestic and international value chains. Phase 2 investments could start in any country when Phase 1 is completed, a sound basis for sustainable fisheries management is in place at the national and local levels, and infrastructure investments in the sector are prepared on the technical, environmental, financial and economic aspects.

The Project Development and Global Environmental Objectives of the first six-year phase of the Program (the SWIOFish1 Project) would be to improve the management effectiveness of selected priority fisheries at regional, national and community level, by strengthening the institutional capacity of regional organizations, governments and selected coastal communities to effectively manage selected priority fisheries. SWIOFish1 would establish the core human and institutional competencies and frameworks that are necessary for fisheries management and prepare for any major public and public/private capital investments required. Moreover, at the regional level the Project would consolidate and strengthen regional cooperation on fisheries and marine resource management, through improved management of transboundary fisheries, strengthen regional fisheries institutions, and promote knowledge exchange and capacity development.

The project will be implemented through four components:

- Component 1 – Enhanced regional collaboration;
- Component 2 - Improved governance of priority fisheries;
- Component 3 – Increased economic benefits from priority Fisheries;
- Component 4 – Program management and coordination.

\(^1\) More specifically, the proposed project will use the SWIOFC, strengthened under the SWIOFP, and will pursue the successful approach of using the SWIOFC as a steering committee. It will also continue to support the implementation of Ecosystem Approach to Fisheries management plans, developed under SWIOFP.
3. **Context of the assignment**

The primary objective of this assignment is to carry out IOTC activities in support of compliance as set in the Financing Agreement for the Second South West Indian Ocean Fisheries Governance and Shared Growth Project managed by IOC (SWIOFish2 Regional) for which a specific component is dedicated and implemented by the IOTC.

4. **Scope of work**

The Compliance technical expert is recruited to the project on a part time basis and for a total duration of 270 days (from 2019 to 2023).

The Compliance technical expert shall be undertaking briefing/debriefing mission at the Indian Ocean Tuna Commission (IOTC) in Victoria, Seychelles and shall undertake in country mission as planned under the project. He/She shall report to the Secretary General of the IOC and the IOTC Executive Secretary and exercise his/her obligations as per the Project Agreement between the World Bank and the IOC related to IOTC activities.

Administratively and contractually, the Compliance technical expert shall be under the responsibility of IOC and technically under the responsibility and supervision of the IOTC Secretariat.

5. **Duties of the Compliance technical expert**

The Compliance technical expert will undertake the following tasks:

- Assist the IOTC Compliance Section to implement the IOTC activities of the project related to capacity building activities (Compliance Support Mission) to strengthen the implementation of active IOTC CMMs with an increase focus on quality of data and information submitted, thus increasing the level of compliance. In this case, implementation obligations relate to the reporting obligations of the CPCs.

- Undertake activities related to compliance support mission and conduct in country mission to assist CPCs (Members of SWIOFC) to fulfil their reporting obligations to the IOTC. The compliance technical experts will work in close collaboration with the administration(s) in charge of IOTC matters.

6. **Reporting Obligations**

The compliance technical expert shall on a contract basis compile and submit, not later than the 10th day of the month after the end of the contract, the following reports:

(i) a written mission activities report against agreed IOTC work plan of the project, deadlines;

(ii) Any other report requested by the IOTC or IOC as may be considered necessary after consultation with the World Bank.

7. **Duration**
The total duration of the assignment will be 270 days corresponding to 9 contracts/missions of 1 month over the period of the project (2019/2023), including briefing/debriefing at the Secretariat. In country mission will be planned in consultation with the concerned CPCs.

8. **Supervision Responsibility**

The consultant will work under the supervision of the IOTC Secretariat (Compliance Section) in collaboration with the IOC but may also receive technical support from the World Bank.

9. **Consultant Qualifications**

Profile and qualifications of the Consultant:

- BSc degree or equivalent in a discipline related to fisheries policy and planning, living natural resources management or related field or 5 years demonstrable experience in a field related to fisheries policy and planning, living natural resources management or related field;
- Demonstrable experience working with project management and implementation in the field of fisheries and/or natural resource management;
- Demonstrable experience in the fields fisheries Monitoring, Control and Surveillance, and experience in fisheries policy and management;
- Demonstrated experience working with/for RFMOs and RFMOs Compliance process;
- Strong report writing and analytical skills;
- Extra credit for experience in south western Indian Ocean region; and
- Full proficiency in English or French languages is compulsory, knowledge of Portuguese will be considered as additional advantage.

10. **Candidates will be assessed against the following**

**Minimum Requirements**

- BSc degree or equivalent in a discipline related to fisheries policy and planning, living natural resources management or related field or 5 years demonstrable experience in a field related to fisheries policy and planning, living natural resources management or related field;
- At least 5 years of demonstrable experience working with project management and implementation in the field of fisheries and/or natural resource management;
- At least 5 years of demonstrable experience in the fields of fisheries Monitoring, Control and Surveillance, fisheries policy and management;
- Working knowledge of English or French, and knowledge of Portuguese will be considered as additional advantage.

**Technical/Functional Skills**
SWIOFish2 Project – Indian Ocean Commission

Terms of Reference for Compliance technical expert in support of the IOTC Compliance section

- Work experience in more than one location or area of work in the south western Indian Ocean region;
- Extent and relevance of knowledge and experience working with/for RFMOs and RFMOs Compliance process;
- Extent and relevance of knowledge and experience in the fields of fisheries Monitoring, Control and Surveillance, and fisheries policy and management;
- Extent and relevance of RFMOs data and information reporting requirements;
- Extent and relevance of knowledge and experience of analyse/management/processing of fisheries data;
- Strong report writing and analytical skills;

11. Payment schedule

- As defined in the contract with IOC.